

SECTION 00 62 13 - SUBMITTAL CHECKLIST

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes:
 - 1. Submittal Checklist.

1.2 SUBMITTALS

- A. Submittal Checklist: Submit the completed Submittal Checklist with the Submittal Schedule.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 SUBMITTAL CHECKLIST

- A. Submittal Checklist includes all submittals for a Kroger retail store project. All of the submittals in the list may not be required for this Project. Review the Drawings and Specifications and check the submittals required in the checkbox in the left hand column. Submit the Submittal Checklist with the Submittal Schedule.
- B. Legend
 - 1. "X" = Contractor to Owner submittals.
 - 2. "O" = Owner to Contractor submittals (Direct Buy Program).

(See Submittals List on Next Page)

SUBMITTAL CHECKLIST																
Required Submittals	Section Number	Section Name/Submittal Item	Submittal Type									Review Responsibility				
			Product Data	Design/Design Mixes	Shop Drawings	Samples	Schedules/Lists/Forms	Qualification Data	Certificates/Letters	Reports/Records	O & M Data/Warranty	Contractor	Owner	A/E Team	Testing Agency	
ADMINISTRATIVE SUBMITTALS																
X	00 72 14	(3.1) Substitution Request					X							X	X	
X	00 72 14	(3.2) Warranty Meeting Report										X		X	X	
X	00 72 14	(3.2) Request for Information (RFI)										X		X	X	
X	00 72 14	(3.3) Construction Progress Schedule					X							X		
X	00 72 14	(3.3) Submittals Schedule and Checklist					X							X	X	X
X	00 72 14	(3.5) Construction Sign			X									X	X	
X	00 72 14	(3.11) Electronic Drawing Request					X							X	X	X
X	00 72 14	(3.14) Daily Work Report										X		X	X	
X	00 72 14	(5.1) Subcontractor Substitution Request					X							X	X	X
X	00 72 14	(7.1) Estimate for Owner Proposed Change											X	X	X	
X	00 72 14	(7.1) Request for Change										X		X	X	
X	00 72 14	(7.1) Invoice for Construction Change Directive										X		X	X	
X	00 72 14	(7.3) Change Order Costs										X		X	X	X
X	00 72 14	(8.1) Request for Time Extension										X		X	X	X
X	00 72 14	(9.0) Progress Payment Request										X		X	X	
X	00 72 14	(9.0) Final Payment Request										X		X	X	
FINAL COMPLETION/CLOSEOUT SUBMITTALS																
X	00 72 14	(9.8) Final Statement										X		X	X	
X	00 72 14	(9.8) Insurance Coverage										X		X	X	
X	00 72 14	(9.8) Warranties											X	X	X	

SUBMITTAL CHECKLIST															
Required Submittals	Section Number	Section Name/Submittal Item	Submittal Type									Review Responsibility			
			Product Data	Design/Design Mixes	Shop Drawings	Samples	Schedules/Lists/Forms	Qualification Data	Certificates/Letters	Reports/Records	O & M Data/Warranty	Contractor	Owner	A/E Team	Testing Agency
X	00 72 14	(9.8) Occupancy Releases									X		X		
X	00 72 14	(9.8) Startup Testing Records								X		X	X		
X	00 72 14	(9.8) Test/Adjust Balance Records								X		X	X		
X	00 72 14	(9.8) Temporary Facilities								X			X		
X	00 72 14	(9.8) Operation and Maintenance Data								X		X	X		
X	00 72 14	(9.8) Transfer of Utilities								X		X	X		
X	00 72 14	(9.8) Project Record Documents								X			X		
	00 72 14	(9.8) SWPPP Documents								X		X	X		
GENERAL REQUIREMENTS															
X	01 74 19	Construction Waste Management and Disposal						X		X		X	X		
EXISTING CONDITIONS															
X	02 41 10	Selective Structure Demolition					X					X			
CONCRETE															
	03 01 80	Cast-In-Place Concrete Slab Cutting and Patching	X	X						X		X		X	
	03 31 00	Cast-In-Place Structural Concrete	X	X	X					X		X		X	
X	03 31 05	Cast-In-Place Concrete Slabs	X	X	X			X	X	X		X		X	
	03 31 06	Polished gray cast-in place concrete slabs	X	X	X			X		X		X		X	
	03 31 10	Polished Integral colored cast-in place concrete slabs	X	X	X			X		X	X	X	X	X	
	03 35 43	Concrete Polishing-New Stores	X		X			X		X	X	X	X		
	03 35 43.15	Burnished To Polished Concrete Conversion	X		X			X		X	X	X	X		
	03 35 43.17	Dyed Polished Concrete Conversion	X		X			X		X	X	X	X		

SUBMITTAL CHECKLIST															
Required Submittals	Section Number	Section Name/Submittal Item	Submittal Type									Review Responsibility			
			Product Data	Design/Design Mixes	Shop Drawings	Samples	Schedules/Lists/Forms	Qualification Data	Certificates/Letters	Reports/Records	O & M Data/Warranty	Contractor	Owner	A/E Team	Testing Agency
MASONRY															
	04 05 00	Common Work Results For Masonry		X					X			X		X	
	04 21 13	Clay Brick Unit Masonry				X			X	X		X		X	
	04 22 00	Standard Concrete Unit Masonry							X	X		X		X	
	04 22 23	Decorative Concrete Block Unit Masonry				X			X	X		X		X	
	04 22 24	Decorative Concrete Brick Unit Masonry				X			X	X		X		X	
	04 22 25	Precast Concrete Unit Masonry							X	X		X		X	
	04 72 00	Cast Stone Unit Masonry			X	X			X	X		X		X	
	04 73 00	Manufactured Stone Veneer	X			X						X		X	
METALS															
	05 12 00	Structural Steel Framing			X							X		X	
	05 21 00	Steel Joist Framing			O							X		X	
	05 31 00	Steel Decking	O		O						O	X		X	
X	05 50 00	Metal Fabrications			X							X		X	
	05 40 00	Cold-Formed Metal Framing			X							X		X	
	05 51 00	Metal Stairs			X							X		X	
	05 52 13	Pipe and Tube Railings			X							X		X	
WOODS, PLASTICS, AND COMPOSITES															
X	06 10 53	Miscellaneous Carpentry	X			X						X		X	
X	06 46 00	Interior Décor Package			O							X		X	
X	06 64 00	Pre Finished Paneling	X			X						X		X	

SUBMITTAL CHECKLIST															
Required Submittals	Section Number	Section Name/Submittal Item	Submittal Type									Review Responsibility			
			Product Data	Design/Design Mixes	Shop Drawings	Samples	Schedules/Lists/Forms	Qualification Data	Certificates/Letters	Reports/Records	O & M Data/Warranty	Contractor	Owner	A/E Team	Testing Agency
THERMAL AND MOISTURE PROTECTION															
X	07 01 50	Roofing Demolition and Repair							X			X		X	
	07 10 00	Waterproofing	X									X		X	
	07 19 00	Water Repellents	X						X	X	X	X		X	
	07 21 00	Thermal Insulation	X								X			X	
	07 24 19	Exterior Insulation and Finish Systems	X		X	X		X	X	X		X		X	X
	07 25 00	Weather Barriers	X									X		X	
	07 32 13	Clay Roof Tiles	X			X						X		X	
	07 32 16	Concrete Roof Tiles	X			X						X		X	
	07 40 00	Metal Roof and Wall Panels	X		X	X					X	X		X	
	07 43 00	Soffit Panels	X			X						X		X	
	07 46 46	Fiber Cement Siding	X			X			X			X		X	
	07 53 23	EPDM Membrane Roofing	X		X			X	X	X	X	X		X	
	07 54 23	TPO Membrane Roofing	X		X			X	X	X	X	X		X	
	07 62 00	Sheet Metal Flashing and Trim	X		X	X					X	X		X	
	07 72 13	Manufactured Curbs			X							X		X	
	07 72 00	Roof Accessories	X		X							X		X	
	07 84 13	Penetration Firestopping	X				X			X		X		X	
X	07 92 00	Joint Sealants	X			X						X		X	

SUBMITTAL CHECKLIST																
Required Submittals	Section Number	Section Name/Submittal Item	Submittal Type									Review Responsibility				
			Product Data	Design/Design Mixes	Shop Drawings	Samples	Schedules/Lists/Forms	Qualification Data	Certificates/Letters	Reports/Records	O & M Data/Warranty	Contractor	Owner	A/E Team	Testing Agency	
OPENINGS																
X	08 11 13	Hollow Metal Doors and Frames	X		X								X		X	
	08 31 13	Access Doors and Frames	X										X		X	
	08 33 23	Overhead Coiling Doors	X		X								X		X	
	08 33 26	Overhead Coiling Grilles	X		X								X		X	
	08 33 36	Side Sliding Grilles	X		X								X		X	
	08 35 16	Folding Grilles	X		X								X		X	
	08 36 13	Sectional Doors	X		X		X						X		X	
	08 36 14	Bascart Sectional Doors	X		X		X						X		X	
X	08 38 00	Traffic Doors	O		O								X	X		
	08 41 13	Aluminum Framed Entrances and Store-fronts	X		X	X						X	X	X	X	
	08 42 29	Automatic Entrances	X		X							X	X	X	X	
	08 56 59	Pharmacy Service Window Unit	O		O							O	X	X	X	
	08 62 00	Unit Skylights	O		O								X			
	08 71 00	Door Hardware	X		X		X					X	X		X	
	08 80 00	Glazing	X									X	X		X	
	08 90 00	Louvers and Vents	X		X	X						X	X		X	
FINISHES																
X	09 01 50	Acoustical Tile Restoration	X									X	X		X	
X	09 22 16	Non-Structural Metal Framing	X										X			
	09 24 23	Portland Cement Synthetic Stucco	X		X	X							X		X	
	09 30 00	Tiling	X			X							X		X	

SUBMITTAL CHECKLIST																
Required Submittals	Section Number	Section Name/Submittal Item	Submittal Type								Review Responsibility					
			Product Data	Design/Design Mixes	Shop Drawings	Samples	Schedules/Lists/Forms	Qualification Data	Certificates/Letters	Reports/Records	O & M Data/Warranty	Contractor	Owner	A/E Team	Testing Agency	
X	09 65 13	Resilient Base and Accessories	X			X							X		X	
	09 65 16	Resilient Sheet Flooring	X			X							X		X	
X	09 65 19	Resilient Tile Flooring	X			X						X	X		X	
	09 67 23	Resinous Flooring	X			X		X			X	X	X	X	X	
X	09 68 13	Tile Carpeting	X										X	X	X	
	09 72 16	Vinyl-Coated Fabric Wallcovering	X										X	X	X	
X	09 91 00	Painting	X			X							X	X	X	
SPECIALTIES																
	10 14 43	Photoluminescent Exit Signage	X		X				X				X		X	
	10 21 13	Toilet Compartments	O		O								X	X	X	
X	10 26 00	Wall, Door, and Fixture Protection	X										X	X		
X	10 28 13	Toilet Accessories	O X		O X								X	X	X	
	10 31 00.01	Manufactured Gas Fireplaces	X		X	X							X	X		
	10 31 00.02	Manufactured Electric Fireplaces	X		X	X							X	X		
	10 73 16	Manufactured Canopies	X	X	X	X							X	X		
	10 82 13	Equipment Screens	X		X	X							X	X	X	
	10 82 33	Post and Glass Partitions	X		X	X							X		X	
EQUIPMENT																
	11 13 00	Loading Dock Equipment	X		X			X					X	X	X	
	11 41 03	Prefabricated Insulated Wall Panels	O		O								X	X	X	
X	11 41 13	General Store Fixture Installation	O		O		O					O	X	X		
X	11 41 22	Refrigerated Fixture Installation	O		O							O	X	X		

SUBMITTAL CHECKLIST																
Required Submittals	Section Number	Section Name/Submittal Item	Submittal Type									Review Responsibility				
			Product Data	Design/Design Mixes	Shop Drawings	Samples	Schedules/Lists/Forms	Qualification Data	Certificates/Letters	Reports/Records	O & M Data/Warranty	Contractor	Owner	A/E Team	Testing Agency	
X	11 41 33	Fixture and Equipment Plumbing Connections	O		O							O	X	X		
X	11 41 34	Fixtures and Equipment Condensate Drain Connections	O		O							O	X	X		
X	11 41 43	Refrigeration System Installation	O		O X		X				X	O X	X	X		
X	11 41 46	EMS Controls Installation	O		O							O X	X	X		
X	11 41 63	Fixture and Equipment Electrical Installation	O		O			X				O X	X	X		
FURNISHINGS																
	12 21 13	Horizontal Louver Blinds	X		X								X		X	
	12 24 13	Roller Window Shades	X									X	X		X	
SPECIAL CONSTRUCTION																
	13 34 13	Greenhouse and Outside Sales Area	O		O								X		X	
CONVEYING EQUIPMENT																
	14 20 13	Freight Elevators	X		X			X	X		X		X		X	
	14 20 23	Passenger Elevators	X		X			X	X		X		X		X	
	14 20 43	Service Elevators	X		X			X	X		X		X		X	
	14 26 00	Limited Use Limited Application Elevators	X		X			X	X		X		X		X	
	14 43 19	Vertical Reciprocating Conveyor	X		X								X		X	
COMMON WORK RESULTS FOR FACILITY SERVICES																
X	20 05 29	Hangers And Supports For Facility Services	X										X		X	
	20 05 48	Vibration And Seismic Control For Facility Services	X		X				X	X			X		X	
FIRE SUPPRESSION																
X	21 10 00	Water Based Fire Suppression Systems	X	X	X						X	X	X	X	X	

SUBMITTAL CHECKLIST																
Required Submittals	Section Number	Section Name/Submittal Item	Submittal Type									Review Responsibility				
			Product Data	Design/Design Mixes	Shop Drawings	Samples	Schedules/Lists/Forms	Qualification Data	Certificates/Letters	Reports/Records	O & M Data/Warranty	Contractor	Owner	A/E Team	Testing Agency	
PLUMBING																
X	22 05 00	Common Work Results for Plumbing	X					X					X	X	X	
	22 05 33	Heat Tracing	X								X	X	X		X	
	22 05 80	Plumbing Connections to Vendor Kiosk			O								X		X	
X	22 07 00	Plumbing Insulation	X										X	X	X	
X	22 11 00	Facility Water Distribution	X O								X	X O	X		X	
X	22 13 00	Facility Sanitary Sewerage			X						X		X		X	
	22 14 00	Facility Storm Drainage									X		X		X	
	22 14 29	Sump Pumps	X		X							X	X		X	
	22 30 00	Plumbing Equipment	X									X	X		X	
X	22 42 00	Commercial Plumbing Fixtures	O X									O	X	X	X	
HVAC																
	23 05 00	Common Work Results for HVAC	X					X					X	X	X	
	23 07 00	HVAC Insulation	X								X		X		X	
	23 09 13	Instrumentation and Control Devices for HVAC	O		O								X	X		
	23 31 13	Metal Ducts	X		X								X		X	
	23 31 16	Nonmetal Ducts	X		X								X		X	
	23 33 00	Air Duct Accessories	X		X								X		X	
	23 34 23	Power and Gravity Ventilators	O		O								X		X	
	23 37 00	Air Outlets and Inlets	X				X						X		X	
	23 28 13	Commercial Kitchen Hoods	O		O								X	X	X	
	23 55 13	Duct Heaters	X		X								X		X	

SUBMITTAL CHECKLIST															
Required Submittals	Section Number	Section Name/Submittal Item	Submittal Type								Review Responsibility				
			Product Data	Design/Design Mixes	Shop Drawings	Samples	Schedules/Lists/Forms	Qualification Data	Certificates/Letters	Reports/Records	O & M Data/Warranty	Contractor	Owner	A/E Team	Testing Agency
	23 55 23	Fuel Fired Unit Heaters	X		X							X		X	
	23 74 13	Air Conditioning/Air Handling Units	O		O						O	X	X	X	
ELECTRICAL															
X	26 05 00	Common Work Results for Electrical	X					X				X	X	X	
X	26 05 19	Low Voltage Electrical Power Conductors And Cables	O X				X				X	X	X		
X	26 05 26	Grounding and Bonding for Electrical Sys-tems	O X				X				X	X	X	X	
X	26 05 33	Raceways and Boxes for Electrical Sys-tems	O X		X							X	X	X	
	26 05 80	Electrical Connections to Vendor Kiosk			O							X	X	X	
	26 22 00	Low Voltage Transformers	O		O							X	X	X	
	26 24 13	Switchboards	O		O							X	X	X	
X	26 24 16	Panelboards	O		O							X	X	X	
X	26 27 26	Wiring Devices	O X		O X							X	X		
X	26 28 00	Low Voltage Circuit Protective Devices	O X	X							O X	X	X		
X	26 28 16	Enclosed Switches and Circuit Breakers	O		O							X	X	X	
X	26 29 00	Low Voltage Controllers	O		O							X	X		
	26 32 13	Engine Generators	O		O					O		X	X	X	
X	26 50 00	Lighting	O		O					O	X	X	X		
ELECTRONIC SAFETY AND SECURITY															
X	28 10 00	Security and Access Control System	X		X		X		X			X	X	X	
X	28 31 00	Fire Detection and Alarm System	X		X		X		X			X	X	X	

SUBMITTAL CHECKLIST														
Required Submittals	Section Number	Section Name/Submittal Item	Submittal Type								Review Responsibility			
			Product Data	Design/Design Mixes	Shop Drawings	Samples	Schedules/Lists/Forms	Qualification Data	Certificates/Letters	Reports/Records	O & M Data/Warranty	Contractor	Owner	A/E Team
EARTHWORK														
	31 20 00	Earth Moving							X			X	X	X
	31 25 00	Erosion and Sedimentation Control					X					X	X	X
EXTERIOR IMPROVEMENTS														
	32 12 16	Asphalt Paving		X					X		X	X	X	X
	32 13 13	Concrete Paving	X	X								X	X	X
	32 13 73	Paving Joint Sealants	X			X						X	X	X
	32 14 00	Unit Paving	X			X						X	X	X
	32 17 00	Paving Specialties	X			X						X	X	
	32 31 13	Chain Link Fences and Gates			X							X	X	
	32 31 19	Decorative Metal Fences and Gates	X		X	X						X	X	X
	32 32 23	Segmental Retaining Walls	X	X		X						X		X
	32 84 00	Planting Irrigation	X		X						X	X	X	
	32 90 00	Planting		X		X			X		X	X	X	
UTILITIES														
	33 11 00	Water Utility Distribution Piping	X									X	X	X
	33 31 00	Sanitary Utility Sewerage Piping	X							X		X	X	X
	33 41 00	Storm Utility Drainage Piping	X		X							X	X	X
	33 52 00	Liquid Fuel Distribution	O X						X	X	O X	X	X	X

END OF SECTION 00 62 13

BLANK SHEET